

Guardianship and Conservatorship Program Regulations
Regulation 500 Disciplinary Regulations for
Certified Professional Guardian and Conservators

Effective January 1, 2022

**500 DISCIPLINARY REGULATIONS FOR CERTIFIED
PROFESSIONAL GUARDIAN AND CONSERVATORS**

500 DISCIPLINARY REGULATIONS FOR CERTIFIED
PROFESSIONAL GUARDIAN AND CONSERVATORS

501 SCOPE, JURISDICTION AND DEFINITIONS

501.1 PURPOSE OF DISCIPLINARY REGULATIONS

501.2 JURISDICTION

501.3 GROUNDS FOR DISCIPLINARY ACTION

501.4 DEFINITIONS

501.5 NO STATUTE OF LIMITATION

502 ORGANIZATION AND STRUCTURE

502.1 CERTIFIED PROFESSIONAL GUARDIANSHIP AND CONSERVATORSHIP
BOARD (CPGCB)

502.2 STANDARDS OF PRACTICE COMMITTEE

502.3 CONFLICTS REVIEW COMMITTEE

502.4 DISCIPLINARY COUNSEL

502.5 RESPONDENT CERTIFIED PROFESSIONAL GUARDIAN AND
CONSERVATOR

503 RELEASE OF INFORMATION

504 GENERAL PROCEDURAL RULES

504.1 SERVICE OF PAPERS

504.2 FILING, ORDERS

504.3 PAPERS

504.4 COMPUTATION OF TIME

504.5 STIPULATION TO EXTENSION OR REDUCTION OF TIME

504.6 ENFORCEMENT OF SUBPOENAS

- 505 GRIEVANCE REVIEW PROCESS
 - 505.1 GRIEVANTS
 - 505.2 BOARD'S INITIAL REVIEW OF GRIEVANCE FOR COMPLETENESS;
REFERRAL OF GRIEVANCE TO SUPERIOR COURT
 - 505.3 PRIVILEGES

- 506 REVIEW BY STANDARDS OF PRACTICE COMMITTEE AND BOARD AFTER
SUPERIOR COURT REVIEW; INVESTIGATION; DISPOSITION
 - 506.1 REVIEW OF GRIEVANCE AFTER SUPERIOR COURT DISPOSITION BY
STANDARDS OF PRACTICE COMMITTEE AND BOARD
 - 506.2 BOARD INVESTIGATION OF GRIEVANCES AFTER SUPERIOR COURT
REVIEW AND BOARD AUTHORIZATION OF INVESTIGATION
 - 506.3 DISMISSAL OF GRIEVANCE BY STANDARDS OF PRACTICE COMMITTEE
AND BOARD AFTER INVESTIGATION
 - 506.4 RESPONSE TO GRIEVANCE
- 507 RESOLUTION WITHOUT COMPLAINT
 - 507.1 ADVISORY LETTER
 - 507.2 AGREEMENTS REGARDING DISCIPLINE
 - 507.3 VOLUNTARY RESIGNATION (SURRENDER), IN LIEU OF FURTHER
DISCIPLINARY PROCEEDINGS
 - 507.4 PROCEDURE IF RESOLUTION NOT REACHED WITHIN 180 DAYS
OF GRIEVANCE RECEIPT PLUS TOLLED PERIODS
- 508 RESOLUTION WITH COMPLAINT
 - 508.1 GENERAL PROCEDURE
 - 508.2 COMMENCEMENT OF PROCEEDINGS
 - 508.3 NOTICE TO ANSWER
 - 508.4 ANSWER
 - 508.5 ENTRY OF DEFAULT
 - 508.6 SCHEDULING
 - 508.7 MOTIONS
 - 508.8 DISCOVERY AND PREHEARING PROCEDURES
 - 508.9 PARTICIPATION AT DISCIPLINARY HEARING
 - 508.10 HEARINGS
 - 508.11 DECISION OF HEARING OFFICER
- 509 DISCIPLINARY SANCTIONS
 - 509.1 GUIDELINES FOR IMPOSING DISCIPLINARY SANCTIONS

- 509.2 TYPES OF DISCIPLINE
- 509.3 REVOCATION OF CERTIFICATION
- 509.4 SUSPENSION
- 509.5 INTERIM SUSPENSION FOR CONVICTION OF A CRIME
- 509.6 INTERIM SUSPENSION IN OTHER CIRCUMSTANCES
- 509.7 NOTIFICATION OF INTERIM SUSPENSION
- 509.8 PROHIBITION AGAINST TAKING NEW APPOINTMENTS
- 509.9 LETTER OF REPRIMAND
- 509.10 PROBATION
- 509.11 OTHER DISCIPLINARY SANCTIONS
- 509.12 RESTITUTION
- 509.13 COSTS AND FEES
- 510 BOARDS REVIEW
 - 510.1 DECISION
 - 510.2 TRANSCRIPT OF HEARING
 - 510.3 RECORD ON REVIEW
 - 510.4 BRIEFS
 - 510.5 DECISION OF BOARD
 - 510.6 CHAIR MAY MODIFY REQUIREMENTS
- 511 SUPREME COURT REVIEW
 - 511.1 NOTIFICATION OF FILING
 - 511.2 REVIEW OF THE RECORD
 - 511.3 FINALITY
 - 511.4 DECERTIFIED OR SUSPENDED PROFESSIONAL GUARDIAN AND CONSERVATORS
- 512 DISCIPLINE FROM OTHER JURISDICTIONS; DUTY TO SELF-REPORT
- 513 REVIEW OF SUPERIOR COURT CASE FILINGS
- 514 REQUEST FOR DISCIPLINARY RECORD
- 515 ADMINISTRATIVE DECERTIFICATION